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| Intended purpose ofdocument:\* | [ ]  Decision[x]  Discussion[ ]  Information[ ]  Other <specify> |
| Decision requested or recommendation:\* | Addition of result code for impersonation error resulting from requirements analysis in TS-0003-V.1.4.2 |
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**oneM2M Notice**

The document to which this cover statement is attached is submitted to oneM2M. Participation in, or attendance at, any activity of oneM2M, constitutes acceptance of and agreement to be bound by terms of the Working Procedures and the Partnership Agreement, including the Intellectual Property Rights (IPR) Principles Governing oneM2M Work found in Annex 1 of the Partnership Agreement.

# Introduction

In order to initialise the definition of test purposes against TS003v1.4.2 the step of extracting the requirements from the core document was taken. The analysis uses a simple macro to extract the paragraphs containing the keyword "shall" from the text and tabulates them identifying the clause, assigning a requirement number, and then identifying in the comment column any notes of consequence. The table is copied below and has already been shared with the TST group. The longer term aim is to address the comments for both the R1 and R2 versions of the security document

| Req\_no | Text | Source in document TS-0003V1.4.2 | Comment | TP to be defined |
| --- | --- | --- | --- | --- |
| TS-0003-1 | The security administration component shall enable administration of all sensitive resources (data and functions) and shall also allow configuration and extension of Security services itself | 5.1 | Not testable without explicit specification of the protocol and method of administration. Similarly conditions of extension not explicitly defined here. | No |
| TS-0003-2 | The Secure Environment within the CSE is accessed via the Secure Environment Abstraction layer and shall hold all sensitive resources | 5.1 | Not testable without explicit specification of "all sensitive resources" and not clear if any "sensitive resource" can be held outside the SE. | No |
| TS-0003-3 | Prior to authorization mutual authentication between the originator CSE or AE and hosting CSE shall be performed | 5.1.2 | Needs to specify the mutual authentication procedure. Not testable in this formulation. However a test purpose for verification of pre-conditions is proposed. | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-4 | A Plug-in associated to the type of Secure Environment shall provide physical/logical connectivity to the secure environment | 5.2.2 | This is a general architectural desire and doesn't actually state how the connectivity is provided | No |
| TS-0003-5 | The Secure Environment Abstraction Layer shall also be accessible on the Service Layer. | 5.2.2 | This extends the general requirement of TS-0003-5 but doesn't have any specific state or protocol to be evaluated. | No |
| TS-0003-6 | This is the purpose of the Security Association Establishment procedure, which shall take place before execution of the service related procedures specified in oneM2M TS-0001 [] for the corresponding reference point | 6.1.2.2.1 | TS-0001 specifies the service related procedures. This is a general purpose statement that does not identify any specific capability to be tested. | No |
| TS-0003-7 | On the Mca and Mcc reference points, security association establishment between a field domain AE or CSE, respectively, and an IN-CSE is mandatory | 6.1.2.2.1 | Need to be more explicit in the capabilities established by the security association. Not testable in this formulation. | Yes. Will form a precondition (a **with** statement in TPLan) |
| NOTE: The phrasing here ("is mandatory") implies a requirement but the actual requirement is not specified in a testable way. |  |
| TS-0003-8 | On the Mcc' reference point, security association establishment between IN-CSE and IN-CSE is mandatory | 6.1.2.2.1 | Need to be more explicit in the capabilities established by the security association. Not testable in this formulation. | Yes. Will form a precondition (a **with** statement in TPLan) |
| NOTE: The phrasing here ("is mandatory") implies a requirement but the actual requirement is not specified in a testable way. |  |
| TS-0003-9 | Once an AE or CSE has been granted access to M2M services, the Access Control procedure specified in clause 7 of the present document shall be executed before accessing an M2M resource, as specified in oneM2M TS-0001 [] | 6.1.2.2.2 | TS-0001 specifies the resources. Clause 7 only specifies (in part) the access control policy using a model based on XACML Policy Enforcement. The policies are not defined. The core requirements for RBAC are stated in clause 9.4 of TR-0008. |  |
| TS-0003-10 | The Security Administration service shall provide functions to manage the Security functions, resources and attributes. | 6.2.3 | This is visible via the API but does not specify how the access is provisioned | No |
| TS-0003-11 | This {*Security Administration service*} shall include management of resources provided via the secure environment | 6.2.3 | As above | No |
| TS-0003-12 | *Remote security administration differs from standard device management by the requirement that* the secure channel established with the administration server shall have its endpoint in the Secure Environment of the M2M Node | 6.2.3.2 | It is not clear how the endpoint of the secure channel can be identified as being in the secure environment. | No |
| TS-0003-13 | Sensitive Functions shall include "Secure Storage" | 6.2.5 |  | No |
| TS-0003-14 | This service shall provide AEs and CSEs with access to Sensitive Functions of the SE. | 6.2.5.1 | This is an architectural constraint.  | No |
| TS-0003-15 | This service shall provide AEs and CSEs with access to the secure storage capability of the SE. Data securely stored by the AE or CSE shall only be accessible through the Security API and by authorized entities. Secure Storage should be managed by the Secure Environment. Stored data shall be associated with the entity owning the data, i.e. the entity that requested the data to be stored within the secure storage. | 6.2.5.2 | Multiple requirements are stated here. The first requirement implies all data request are intercepted and access control policy applied. This is extended by the second requirement that data is linked (by meta data that is not defined) to the owning entity  | No |
| TS-0003-16 | M2M Master Credentials, used to mutually authenticate CSEs/AEs before granting them access to M2M services, shall be securely stored in a specific infrastructure functionality named M2M Authentication Function (MAF). | 6.2.6 | It is not clear if this requirement to store data securely can be verified at an external point of observation and control. It is a requirement set for trust enablement within the MAF that a penetration test may test as negative but not not prove as positive | No |
| TS-0003-17 | The security sensitive data and security functions contained in M2M field domain nodes shall be protected from unauthorized access or alteration, as determined by risk analysis. Sensitive data and functions include security credentials and algorithms that manipulate them. The purpose of the Secure Environment is to provide the required protection level (see Table 6.3.1-1) and isolation of security sensitive data and functions within an M2M node. This is especially critical for M2M Nodes that can be remotely or physically accessed by potential attackers.  | 6.3.1 | Leads to the requirement to build an access control framework. Not specifcally testable in this formulation.Note that the risk analysis has been performed and documented in TR-0008. | No |
| TS-0003-18 | There is no assumption made on the particular implementation of the Secure Environment. A SE may be implemented as an independent HW Security Element or as an integrated SW function. Each Secure Environment shall be associated with one certain Security Level depending on the particular implementation of the SE. Different Secure Environments may provide different Security Levels and protection levels as indicated in table 6.3.1-1. | 6.3.1 | Not clear where the association can be interorrogated. | No |
| TS-0003-19 | There shall be at least one Secure Environment, however there may be multiple. | 6.3.1 | Not clear where the existence of a security environment can be interrogated  | No |
| TS-0003-20 | For case where an AE initiates a new registration request to a CSE and has no preference for an assigned AE-ID value, the fr parameter shall not be sent in the request.  All other requests shall have the fr parameter present in the request. | 7.1.2 | Syntax and semantics testing required to cover this. | Yes |
| TS-0003-21 | The accessControlTimeWindow parameter represents a list of elements that comply to the extended crontab syntax as defined in clause 7.3.8 of oneM2M TS-0004 [4]. It allows definition of periodically recurring time intervals at which access shall be granted, when the rq\_time parameter associated with the access request message falls into such interval. | 7.1.5 | Syntax and semantics testing required to cover this. | YesTests for TS-0004 shall take precedence. |
| TS-0003-22 | When the Registrar CSE receives a request,the Registrar CSE shall perform the following procedure.Figure 7.2-1: AE impersonation checking procedure 0. Security association establishment is performed.1. The AE sends a request to Hosting CSE via ist Registrar CSE (Hosting CSE is not represented on this figure and can either be the Registrar CSE or another CSE).2. The Registrar CSE checks if the value in the *From* parameter is the same as the ID associated in security association.3. If the value is not the same, the Registrar CSE sends a response with an impersonation error response code.4. The Registrar CSE performs procedures specified in clause 8.2 of oneM2M TS-0001 []. Depending on the number of Transit CSEs, the Registrar CSE either processes the request or forwards it to the Hosting CSE or to another Transit CSE. | 7.2 | This is a protocol test. Tests for both positive and negative behaviour are required. | Yes |
| TS-0003-23 | A FQDN certificate shall be used to authenticate an M2M Enrolment Function to an Enrolee during a Bootstrap Enrolment Handshake phase in a Certificate-Based Remote Security Provisioning Framework.  | 8.1.2.1 | Specialised use of certificate. | No |
| TS-0003-24 | If an entity is to authenticate another entity using a device certificate, CSE-ID certificate, AE-ID certificate or FQDN certificate, then the entity shall perform basic path validation (section 6.1of IETF RFC 5280 [34]) as part of verifying the other entity's certificate (see clause 8.1.2.4 "Certificate Verification"). | 8.1.2.2 | Verifies compliance with syntax and structure of RFC5280 (Internet X.509 Public Key Infrastructure Certificateand Certificate Revocation List (CRL) Profile) | No |
| TS-0003-25 | CA certificates shall include the name constraint extensions (clause 4.2.1.10 "Name Constraints" of IETF RFC 5280 [34]) and shall constrain the names (object identifier M2M Device IDs from Annex H "Object Identifier Based M2M Device Identifier" oneM2M TS-0001 [1], public domain name representation of the CSE-ID, Absolute AE-ID or FQDNs) which may be in the subsequent certificate used to authenticate the entity (device certificate, CSE-ID certificate, AE-ID certificate or FQDN certificate respectively). | 8.1.2.2 | Verifies compliance with syntax and structure of RFC5280 (Internet X.509 Public Key Infrastructure Certificateand Certificate Revocation List (CRL) Profile) | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-26 | Certificate status verification: In the case of an Infrastructure Domain entity receiving an MEF certificate, the entity shall verify the status of the certificate using a Certificate Revocation List as described in IETF RFC 5280 [34]. oneM2M support for certificate status checking in Field Domain entities requires further study. A mapping of the Online Certificate Status Protocol (OCSP) onto HTTP may be used, as described in Appendix A of IETF RFC 6960 [35], however a mapping of OCSP onto CoAP is not currently defined. Furthermore, OCSP may also not be easily applicable in all environments. An alternative approach may be using the TLS Certificate Status Request extension (section 8 of IETF RFC 6066 [44]; also known as "OCSP stapling") or preferably the Multiple Certificate Status Extension (IETF RFC 6961 [36]), if available. | 8.1.2.2 | Identifies further study required hence premature to define a test | No |
| TS-0003-27 | If an entity is to authenticate itself using a Certificate-Based Security Framework, then the entity shall be pre-provisioned with the following information:* The entity's Private Signing Key.

NOTE: An entity authenticates itself to other entities by proving that it knows the Private Signing Key corresponding to a particular Public Verification Key.* The entity's Certificate (and if applicable, Certificate Chain) as described in clause 10.1.1 "Certificate Profiles".
* In the case of a CSE-ID certificate the entity shall be configured with the entity's CSE-ID.
* In the case of an AE-ID certificate the entity shall be configured with the entity's AE-ID.
 | 8.1.2.3 | Identifies a pre-provisioning requirement that is caputured using the TPLan statements: **with** { **IUT** 'condition 1' **and** 'condition 2' **and** **not** ...etc...}In this case:**with** { **Entity** 'having the private signing key' **and (**'CSE-ID' **or** 'AE-ID'**)** **and** 'certificate'} | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-28 | Entity A shall be configured to trust the following information in order to authenticate Entity B using the certificate-Based SAEF:* An indication of the public key certificate flavour of other Entity B's Certificate (that is, raw public key certificate, device certificate, CSE-ID certificate or AE-ID certificate).
* In the case where Entity B's certificate is a raw public key certificate:
* A public key identifier for the raw public key in the certificate (see clause 10.1.2 "Public Key Identifiers").
* In the case where other Entity B's certificate is an device certificate, CSE-ID certificate or FQDN certificate:
* **A Globally unique identifier:** The globally unique identifier for the entity which is also present in the subjectAltName extension of the other entity's certificate:
* Device Certificate: A globally unique hardware instance identifier (such as the object identifier M2M Device ID in Annex H "Object Identifier Based M2M Device Identifier" oneM2M TS‑0001 [that is present in the device certificate.
* CSE-ID Certificate: The public domain name representation of the CSE-ID as defined in TS-0001 [1].
* **Trust Anchor Information:** For the trust anchor certificates of Entity B's certificate chain (see clause 8.1.2.2 "Path Validation and Certificate Status Verification").
 | 8.1.2.4 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-29 | Entity B shall be configured to trust the following information in order to authenticate Entity A using the Certificate-Based SAEF:* An indication of the public key certificate flavour of Entity A’s Certificate (that is, raw public key certificate, device certificate or CSE-ID certificate).
* In the case where Entity A’s certificate is a raw public key certificate:
	+ A public key identifier for the raw public key in the certificate (see clause 10.1.2 “Public Key Identifiers”).
* In the case where Entity A’s certificate is an device certificate, CSE-ID certificate or AE-ID certificate:
	+ **Trust Anchor Information:** for the trust anchor certificate for Entity A’s certificate chain (see clause 8.1.2.2 “Path Validation and Certificate Status Verification”).
 | 8.1.2.4 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-30 | In order to authenticate the M2M Enrolment Function using the certificate-based RSPF, an Enrolee shall be configured to trust the trust anchor information of the M2M Enrolment Function’s certificate chain.  | 8.1.2.4 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-31 | An M2M Enrolment Function shall be configured to trust the following information in order to authenticate an Enrolee using the certificate-based RSPF:* An indication of the public key certificate flavour of Entity B’s Certificate (that is, raw public key certificate or device certificate).
* In the case where the Enrolee’s certificate is a raw public key certificate:
	+ A public key identifier for the raw public key in the certificate (see clause 10.1.2 “Public Key Identifiers”).
* In the case where the Enrolee’s certificate is an device certificate, CSE-ID certificate or AE-ID certificate:
	+ **A Globally unique identifier:** The globally unique identifier which is also present in the subjectAltName extension of the Enrolee’s certificate
		- Device Certificate: A globally unique hardware instance identifier (such as the object identifier M2M Device ID in Annex H “Object Identifier Based M2M Device Identifier” TS-0001 [1]) that is present in the device certificate.
		- CSE-ID Certificate: The public domain name representation of the CSE-ID as defined in TS-0001 [1].
		- AE-ID Certificate: The Absolute AE-ID assigned to the AE.
	+ **Trust Anchor Information:** for the trust anchor certification for the Enrolee’s certificate chain (see clause 8.1.2.2 “Path Validation and Certificate Status Verification”).
 | 8.1.2.4 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-32 | If the certificate information configured during the Association Configuration or Bootstrap Instruction Configuration indicates that the other entity's Certificate is a device certificate, CSE-ID certificate, AE-ID certificate or FQDN certificate, then the entity shall perform the following verifications:* The entity shall look for a match between the globally unique identifier described in clause 8.1.2.4 "Information Needed for Certificate Authentication of another Entity" (received during Association Configuration or Bootstrap Instruction Configuration) and the values in the subjectAltName extension of the other entity's Certificate (received during the Security Handshake). If there is not an exact match, then the entity shall abort the (D)TLS handshake.
* In the case of device certificate, the globally unique identifier is a globally unique hardware instance identifier (such as the object identifier M2M Device ID in Annex H "Object Identifier Based M2M Device Identifier" oneM2M TS-0001 []. In this case, the notion of a "match" depends on how the globally unique hardware instance identifier may be represented in the subjectAltName extension.
* In the case of a CSE-ID certificate, the globally unique identifier is the public domain name representation of the CSE-ID as defined in TS-0001[1], and a match is a FQDN in the subjectAltName extension in the other entity's certificate that is an exact match for the public domain name representation of the CSE-ID.
* In the case of an AE-ID certificate, the globally unique identifier is the AE-ID, and a match is a URI in the subjectAltName extension in the other entity's certificate that is an exact match for the Absolute AE-ID.
* In the case of an FQDN certificate, the globally unique identifier is the FDQN of the M2M Authentication Function or M2M Enrolment Function, and a match is a URI, FQDN or dNSName in the subjectAltName extension in the other entity's certificate that is an exact match for the FDQN of the M2M Authentication Function or M2M Enrolment Function.
* The entity shall perform path validation and certificate status verification using the trust anchor certificate as described in clause 8.1.2.2 "Path Validation and Certificate Status Verification"). If this verification fails, then the entity shall abort the (D)TLS handshake.
 | 8.1.2.5 | Takes account of the preconfiguration of the states defined in clause 8.1.2.4 | Yes |
| TS-0003-33 | The entities shall validate each other's Certificate before trusting the Public Verification Keys in the Certificate. Within the Security Handshake, entity A creates a digital signature of the session parameters using its private signing key and entity B verifies the digital signature using entity A's public verification key. Then the roles are reversed: entity B creates a digital signature and entity A verifies it. For more details see clause 8.2.2.2. | 8.2.1 | Contained within the description of Certificate Based SAEF. | No (see against 8.2.2.2) |
| TS-0003-34 | Entity A shall be configured with IdB, the CSE-ID for Entity B. | 8.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-35 | Otherwise, the CSE-ID or AE-ID(s) shall be made available to Entity B via one of the following approaches. * + - If the Security Association Establishment procedure is facilitated by an M2M Authentication Function, then the M2M Authentication Function may be provided with the CSE-ID or AE-ID and the M2M Authentication Function may provide this to Entity B at the same time as Kc is provided to Entity B. The M2M Authentication Function could have been provided with the CSE-ID or AE-ID during provisioning, including the case where the M2M Authentication Function is provided with the CSE-ID or AE-ID during remote provisioning by an M2M Enrolment Function (which is similar to the case described in the following bullet).
		- If the Security Association Establishment procedure uses a Provisioned Symmetric Key which was remotely provisioned to Entity A and Entity B, then the M2M Enrolment Function may provide Entity B with CSE-ID or AE-ID during the Remote Security Provisioning procedure.
		- If the M2M Service Provider assigns Entity A’s entity identifier(s), then the CSE-ID or AE-ID(s) may be securely configured by the M2M Service Provider to Entity B prior to the Association Security Handshake. For example, the CSE-ID or AE-ID(s) may be configured as part of Credential Configuration or Association Configuration. This specification permits using other mechanisms, with the assumption that the mechanism provides authentication, integrity protection and optionally confidentiality.
			* Example 1. If the M2M Service Provider has the opportunity to configure Entity B prior to deployment, then the M2M Service Provider could configure the CSE-ID or AE-ID(s) to Entity B at this time.
			* Example 2. A secure remote management protocol could be used to configure Entity B with the CSE-ID or AE-ID(s). However, this is not currently an interoperable feature as there is no standardized management object facilitating this management.
		- In the case that Entity A is an AE and Entity B is a CSE, the applicable AE-ID(s) may be obtained by retrieving the applicable <serviceSubscribedAppRule> resources which are linked to by the ruleLinks attribute of the Entity B’s <serviceSubscribedNode> on the IN-CSE as described in clause 10.1.1.2.2 “Application Entity Registration procedure” in TS-0001 [1].
 | 8.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-36 | Credential Configuration: The Provisioned Secure Connection Key (Kpsa) and the corresponding Provisioned Secure Connection Key Identifier, denoted KpsaId, are provisioned to both entities either with pre-provisioning or remote provisioning. The format of KpsaId is defined in clause 10.5 “KpsaId Format”. If Entity A is a CSE, then Entity A shall also be configured with its CSE-ID (not shown in the figure). | 8.2.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-37 | Credential Configuration: The private keys and certificates for each entity are pre-provisioned as described in clause 8.1.2.3 "Credential Configuration for Certificate-Based Security Frameworks". If Entity A is a CSE, then Entity A shall also be configured with its CSE-ID (not shown in the figure). | 8.2.2.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-38 | Credential Configuration: The Master Credential (Km) and corresponding Master Credential Identifier (KmId) are either pre-provisioned for Entity A and the MAF or remotely provisioned thanks to Remote Security Provisioning Frameworks described in clause 8.3. The format of KmId is defined in clause 10.6 “KmId Format”. Entity A is also provisioned with the MAF URI to contact during the MAF Handshake. If Entity A is a CSE, then Entity A shall also be configured with its CSE-ID (not shown in the figure). | 8.2.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-39 | Association Configuration: Entity A and the MAF shall be configured with the information needed for the authentication and identification during MAF Handshake and Association Security Handshake: | 8.2.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-40 | The MAF returns Kc, Kc Lifetime, and KmId to Entity B. If MAF is configured with CSE-ID or AE-ID for Entity A (see clause 8.2.1 “Overview of Security Association Establishment Frameworks”), then the MAF shall pass this information to Entity B also. | 8.2.2.3 | The end of the MAF handshake | Yes |
| TS-0003-41 | Entity A and Entity B may establish a fresh (D)TLS-PSK handshake using Kc at any time within the Kc Lifetime. Once Kc Lifetime expires, then Entity B shall fail the (D)TLS-PSK handshake, which indicates to Entity B that a fresh MAF Handshake is required. | 8.2.2.3 | Reinstatement of MAF handshake | Yes |
| TS-0003-42 | Provisioned Symmetric Key Association Establishment uses a symmetric key Kpsa and corresponding KpsaId, shared between two entities (Entity A and Entity B), to establish security associations between those two entities (CSE/AEs), as described in clause 8.2.2.1. This symmetric key Kpsa and corresponding KpsaId shall be either pre-provisioned or remotely provisioned to the two CSE/AEs thanks to Security Bootstrap Frameworks. | 8.3.1.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-43 | The Master Credential (Km) and corresponding Master Credential Identifier (KmId) shall either be pre-provisioned or remotely provisioned to the CSE/AE and M2M Authentication Function. | 8.3.1.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-44 | The Enrolee and M2M Enrolment Function shall validate each other's Certificate before trusting the Public Verification Keys in the Certificate. Within the Security Handshake, the M2M Enrolment Function creates a digital signature of the session parameters using its private signing key and the Enrolee verifies the digital signature using the M2M Enrolment Function's public verification key. Then the roles are reversed: the Enrolee creates a digital signature and the M2M Enrolment Function verifies it. For more details see clause 8.3.2.2. | 8.3.1.2 | Detail given in 8.3.2.2 | No |
| TS-0003-45 | The M2M Enrolment Function authorizes M2M Authentication Function, i.e. the M2M Enrolment Function shall verify whether the requested Enrolee(i.e. KeId associated with an Enrolee) information and credentials can be provisioned to M2M Authentication Function.  | 8.3.1.2 | Detail given in 8.3.2.2 | No |
| TS-0003-46 | To share a long term Master Credential (Km) or Provisioned Secure Connection Key (Kpsa) between an Application Service/Middle Node and an Enrolment Target, the M2M Application Service/Middle Node shall perform a successful GBA bootstrapping and derive a NAF key (Ks\_(ext/int)\_NAF). This NAF key is the Master Credential (Km) or Provisioned Secure Connection Key (Kpsa). | 8.3.2.3 | Refers to GBA. Thus the GBA test purposes and test cases apply | No |
| TS-0003-47 | Bootstrap Instruction Configuration: The Enrolee, the MEF and the Enrolment Target shall be configured with the information needed for authorizing the remote provisioning. | 8.3.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-48 | The Enrolee shall be configured with the Enrolment Target Identity: identifying the Enrolment Target for which the Enrolee is to be provisioned. | 8.3.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-49 | The MEF shall be configured with the Enrolee-ID and the Enrolment Target Identity:* The Enrolment Target Identity: Identifying the Enrolment Target for which the Enrolee (authenticated using the GBA) is to be provisioned.
* The Enrolee's assigned CSE-ID or AE-ID (Enrolee-ID), The M2M Enrolment Function is to provide this entity identity for the Enrolee with the Km or Kpsa to the Enrolment Target, when requested by the Enrolment Target.
* Enrolee's GBA User Security Settings (GUSS) enables indicating if Enrolee is allowed to establish a NAF-specific key with the Enrolment Target or/and if the BSF can distribute a NAF specific key to the Enrolment Target.
 | 8.3.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-50 | The Enrolment Key (Ke) shall be the GBA Bootstrapped key (Ks) established during the Bootstrap Enrolment Handshake.  | 8.3.2.3 | Described in 3GPP TS 33.220 and relevant tests from there should apply | No |
| TS-0003-51 | The Enrolment Key Identifier (Ke-ID) shall be the Bootstrapping Transaction Identifier ( B-TID) generated during the Bootstrap Enrolment Handshake. | 8.3.2.3 | Described in 3GPP TS 33.220 and relevant tests from there should apply | No |
| TS-0003-52 | The Enrolee and the Enrolment Target shall establish the Master Credential (Km) or the Provisioned Secure Connection Key (Kpsa) thanks to procedures described in 3GPP TS 33.220 [13] using the Enrolment Key (Ke) as GBA bootstrapped key Ks and the Enrolment Key Identifier (Ke-ID) as B-TID. The Enrolment Target plays the role of a NAF. | 8.3.2.3 | Described in 3GPP TS 33.220 and relevant tests from there should apply | No |
| TS-0003-53 | The Enrolee and the Enrolment Target shall establish NAF-specific key(s) as described in 3GPP TS 33.220 [13]. A key lifetime is associated to the NAF-specific keys. The Enrolment Target also receives the Enrolee's User Security Settings (USS) from the MEF/BSF:* The Enrolee and the Enrolment Target shall establish NAF-specific key(s) as described in 3GPP TS 33.220 []. A key lifetime is associated to the NAF-specific keys. The Enrolment Target also receives the Enrolee's User Security Settings (USS) from the MEF/BSF:
	+ - The FQDN of the NAF, used as input to generate the Ks\_(int/ext)\_NAF, shall be set as follows:
			* In the case where the Enrolment Target is an M2M Authentication Function, then the FQDN of the NAF is set to the FQDN of the M2M Authentication Function.
			* In the case where the Enrolment Target is a CSE, then the FQDN of the NAF is set to the public domain name representation of the CSE-ID as defined in TS-0001 [1].
		- In case of GBA\_ME, NAF-specific key is Ks\_NAF.
		- In case of GBA\_U, NAF-specific keys are Ks\_int\_NAF and Ks\_ext\_NAF.
* The Master Credential (Km) ) or the Provisioned Secure Connection Key (Kpsa) shall be the NAF-specific key:
* In case of GBA\_ME, Km/Kpsa = Ks\_NAF.
* In case of GBA\_U, Km/Kpsa = Ks\_int\_NAF if HTTP Client application resides in the UICC. Otherwise, Km/Kpsa = Ks\_ext\_NAF.
* The Enrolee and the Enrolment Target shall set the Master Credential Identifier (Km-Id) or the Provisioned Secure Connection Key Identifier (Kpsa-Id) to the value of KeId.
 | 8.3.2.3 | Described in 3GPP TS 33.220 and relevant tests from there should apply | No |
| TS-0003-54 | Enrolee and Enrolment Target shall perform (D)TLS-PSK handshake (IETF RFC 4279 [15]) with the Master Credential (Km) or Provisioned Secure Connection Key (Kpsa) as Pre-Shared Key in compliance with clause 10.2.2 "TLS and DTLS Ciphersuites for TLS-PSK-Based Security Frameworks". If UICC is used as Secure Environment supporting Remote Security Provisioning, GBA-U with Km/Kpsa = Ks\_int\_NAF shall be used for authentication and key exchange. | 8.3.2.3 | Described in 3GPP TS 33.220 and relevant tests from there should apply | No |
| TS-0003-55 | Entity B and MAF shall be able to establish mutually-authenticated secure communication. The details are not specified in the present document | 9.1.1.1 | Details not specificed | No |
| TS-0003-56 | Entity B and MAF shall be able to establish mutually-authenticated secure communication. The details are not specified in the present document | 9.1.1.2 | Details not specified | No |
| TS-0003-57 | The Credential Configuration of M2M Authentication Framework shall be achieved through either:* Business logic of the Stakeholder operating the M2M Authentication Function, and the details are not described in the present document.
* Remote provisioning via one of the Remote Security Provisioning Frameworks in clause 8.3.
 | 9.1.1.2 | Test scenarios from 8.3 apply for the second option. Else as not specified can not be verified. | No |
| TS-0003-58 | Mechanisms for Association Configuration of Entity A shall authenticate the configuration source and provide integrity protection for the configured information communicated from the configuration source to the entity. | 9.1.2.1.1 | Whilst not explicit this is provided by verification of the signature | Yes |
| TS-0003-59 | Mechanisms for Association Configuration of Entity B shall authenticate the configuration source and provide integrity protection for the configured information communicated from the configuration source to the entity. | 9.1.2.1.2 | Whilst not explicit this is provided by verification of the signature | Yes |
| TS-0003-60 | The Bootstrap Credential Configuration of an Enrolee for the Pre-Provisioned Symmetric Enrolee Key Remote Security Provisioning Framework and Certificate-Based Remote Security Provisioning Framework shall authenticate the configuration source and shall provide confidentiality and integrity protection of the configured information communicated from the configuration source to the secured environment of the Enrolee. The present document does not specify any such mechanisms. | 9.2.1.1 | Whilst not explicit this is provided by verification of the signature | No |
| TS-0003-61 | Mechanisms for Bootstrap Instruction Configuration of Enrolees shall authenticate the configuration source and shall provide at least integrity protection of the configured information communicated from the configuration source to the Enrolee. | 9.2.2.1 | Whilst not explicit this is provided by verification of the signature | No |
| TS-0003-62 | All certificates shall conform to the following profile:* Certificates shall conform to IETF RFC 5280
* The certificate shall include a SubjectPublicKeyInfo that indicates an algorithm of id-ecPublicKey with namedCurves secp256r1 []; this curve is equivalent to the NIST P-256 curve [].
* The public key format shall be uncompressed [].
* The hash algorithm shall be SHA-256.
* The key usage extension shall be included and shall indicate at least digitalSignature.
 | 10.1.1.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-63 | Raw public key certificates shall conform to clause 10.1.1.1 "Common Certificate Details" and IETF RFC 7250 [37]. | 10.1.1.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-64 | Certificates with Certificate Chains shall conform to the following description:* These certificates shall conform to clause 10.1.1.1 "Common Certificate Details".
* Certificates shall be signed with ECDSA using secp256r1, and the signature shall use SHA-256.
* Certificate chains should limit the number of intermediate CA certificates to avoid having a negative impact in constrained environments.
 | 10.1.1.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-65 | Device certificates shall conform to the following description:* Device certificates shall conform to clause 10.1.1.3 "Details Common to the Certificates with Certificate Chains".
* The subjectAltName extension of device certificates shall include one or more globally unique hardware instance identifiers.
 | 10.1.1.4.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-66 | Certificate Authority Certificates in the certificate chain for a device certificate shall conform to the following description:* These certificates shall conform to clause 10.1.1.3 "Details Common to the Certificates with Certificate Chains".
* Certificate Authority Certificates for device certificates are recommended to use the name constraints extension (see clause 4.2.1.10 "Name Constraints" of IETF RFC 5280 []) to constrain the globally unique hardware instance identifiers in subsequent device certificates in a certification path.
 | 10.1.1.4.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-67 | AE-ID certificates and all other certificates in the corresponding certificate chain shall conform to clause 10.1.1.3 "Details Common to Certificates with Certificate Chains". | 10.1.1.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-68 | The full URI representation of the AE-ID shall be included in the subjectAltName extension. | 10.1.1.5 | Refers back to 10.1.1.3. | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-69 | The certificate used to sign the AE-ID certificate shall include nameConstraints satisfied by the hostname part of the full URI representation of the AE-ID. | 10.1.1.5 | Refers back to 10.1.1.3. | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-70 | AE-ID certificates shall not include wildcards. | 10.1.1.5 | Refers back to 10.1.1.3. | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-71 | FQDN Certificates and all other certificates in the corresponding certificate chain shall conform to clause 10.1.1.3 "Details Common to Certificates with Certificate Chains". | 10.1.1.6 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-72 | An FQDN Certificate shall include the FQDN of the subject M2M Enrolment Function in the subjectAltName extension. | 10.1.1.6 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-73 | FQDN Certificates shall not include wildcards. | 10.1.1.6 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-74 | CSE-ID certificates and all other certificates in the corresponding certificate chain shall conform to clause 10.1.1.3 “Details Common to Certificates with Certificate Chains”. | 10.1.1.7 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-75 | The subjectAltName extension shall include the public domain name representation of the CSE-ID as defined in TS-0001 [1]. | 10.1.1.7 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-76 | CSE-ID certificates shall not include wildcards. | 10.1.1.7 | Refers back to 10.1.1.3 | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-77 | The public key identifier for a raw public key certificate shall calculated as described in section 2 of IETF RFC 6920 [40] using the SHA-256 hash algorithm. The public key identifier shall be generated using one of the sha-256-120, sha-256-128 or sha-256 hash algorithms specified in IETF RFC 6920 [40].  | 10.1.2 | Whilst this statement contains 2 requirements it really only identifies the 3 allowed variants of the SHA-256 algorithm so is treated as a single precondition. | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-78 | Where TCP payloads are to be secured, TLS v1.2 [5] shall be used. | 10.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-79 | Where UDP payloads are to be secured, DTLS v1.2 [6] shall be used, noting that the DTLS v1.2 ciphersuites are identical to the TLS v1.2 ciphersuites. | 10.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-80 | All implementations shall support the Server Name Indication (SNI) to indicate their authority in the SNI HostName field as defined in section 3 of IETF RFC 6066 [44]. This is needed so that when a host that acts as a virtual server for multiple Authorities receives a new TLS or DTLS connection, it knows which keys to use for the TLS or DTLS session. | 10.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-81 | (D)TLS Clients on any Node and (D)TLS Servers on MNs shall support at least one of the TLS ciphersuites indicated in clause 10.2.2. “TLS and DTLS Ciphersuites for TLS-PSK-Based Security Frameworks” or 10.2.3 “TLS and DTLS Ciphersuites for Certificate-Based Security Frameworks”. | 10.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-82 | (D)TLS Servers on INs shall support all of the TLS ciphersuites indicated in clause 10.2.2. “TLS and DTLS Ciphersuites for TLS-PSK-Based Security Frameworks” and 10.2.3 “TLS and DTLS Ciphersuites for Certificate-Based Security Frameworks”. | 10.2.1 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-83 | The following Security Frameworks:* Provisioned Symmetric Key Security Association Establishment Framework;
* MAF-Based Security Association Establishment Framework;
* Pre-Shared Key Remote Security Provisioning Framework;
* GBA-Based Remote Security Provisioning Framework;

shall use one of the key exchange algorithms defined in IETF RFC 4279 [15]. | 10.2.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-84 | TLS implementations in entities supporting these security frameworks shall implement at least the following TLS ciphersuite:* TLS\_PSK\_WITH\_AES\_128\_CBC\_SHA256 (IETF RFC 5487).
 | 10.2.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-85 | DTLS implementations supporting these security frameworks shall implement at least the following ciphersuites* TLS\_PSK\_WITH\_AES\_128\_CCM\_8 (IETF RFC 6655).
 | 10.2.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-86 | The following Security Frameworks:* Certificate-Based Security Association Establishment Framework;
* Certificate-Based Security Bootstrap Framework;

shall use the standard TLS handshake (IETF RFC 5246 [5]) with the ECDHE\_ECDSA Key Exchange (IETF RFC 4492 [43]). | 10.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-87 | TLS implementations supporting these security frameworks shall implement at least the following ciphersuite:* TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_CBC\_SHA256, IETF RFC 5289.
 | 10.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-88 | DTLS implementations supporting these security frameworks shall implement at least the following TLS ciphersuite:* TLS\_ECDHE\_ECDSA\_WITH\_AES\_128\_CCM\_8, IETF RFC 7251
 | 10.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-89 | Implementations supporting these security frameworks shall support authenticating other entities using all available public key certificate flavours (see clause 8.1.2.1 "Public Key Certificate Flavours"):* Raw public key certificate: using the mechanism specified in IETF RFC 7250 [], Implementation shall support receiving and processing raw public keys compliant with section 9.1.3.2 "Raw Public Key Certificates" in IETF RFC 7252 [].
* All other certificates: X.509 certificates including device hardware identifier. Implementation shall support receiving and processing raw public keys compliant with section 9.1.3.3 "X.509 Certificates" in IETF RFC 7252 [].
 | 10.2.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-90 | The following information shall be used when generating Km from Ke:* the value of the Enrolment Key (Ke);
* the M2M Authentication Function Identifier (MAF-ID) shall be encoded to an octet string according to UTF‑8 encoding rules as specified in IETF RFC 3629 [] and apply Normalization Form KC (NFKC) as specified in [].
 | 10.3.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-91 | The value of Km shall be generated as:Km := HMAC-SHA-256(Ke, "oneM2M Enrolment Key to Master Credential derivation" || MAF-ID),where HMAC-SHA-256 is defined in IETF RFC 2104 [] | 10.3.2 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-92 | The following information shall be used when generating Kpsa from Ke:* The value of the Enrolment Key (Ke).
* Enrolee B's CSE-ID or AE-ID (Enrolee-B-ID), which shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [] and apply Normalization Form KC (NFKC) as specified in [].
 | 10.3.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-93 | The value of Kpsa shall be generated as:* Kpsa := HMAC-SHA-256(Ke, "oneM2M Enrolment Key to Provisioned Secure Connection Key derivation" || Enrolee-B-ID);
 | 10.3.3 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-94 | The KeId value shall be formed as:* KeId = base64encode(RelativeKeId)@MEF\_FQDN:
 | 10.3.4 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-95 | The KcId value shall be formed as KcId = base64encode(RelativeKcId)@MAF\_FQDN, | 10.3.5 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-96 | The KpsaId shall be of the formKpsaId = Issuer\_Relative\_KpsaId@Issuer\_FQDN | 10.5 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-97 | Issuer\_Relative\_KpsaId is composed of the Roman alphabet, numerals, ‘.’, ‘\_’ and ‘-‘ characters. The issuer of KpsaId shall ensure that no two Kpsa have identical Issuer\_Relative\_KpsaId. | 10.5 | It is not indicated how the issuer ensures the uniqueness of Issuer\_Relative\_Kpsaid and it is assumed this is an implementation option (e.g. by enforcing the UNIQUE constraint in a database record) | No |
| TS-0003-98 | The KmId shall be of the formKmId = MAF\_RELATIVE\_KmId@MAF\_FQDN | 10.6 | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-99 | MAF\_RELATIVE\_ KmId is composed of the Roman alphabet, numerals, ‘.’, ‘\_’ and ‘-‘ characters. The MAF\_RELATIVE\_KmId is not case sensitive. The MAF shall ensure that no two Km have identical MAF\_RELATIVE\_ KmID. | 10.6 | It is not indicated how the issuer ensures the uniqueness of Issuer\_Relative\_Kpsaid and it is assumed this is an implementation option (e.g. by enforcing the UNIQUE constraint in a database record) | No |
| TS-0003-100 | In case of UICC (SE compliant with ETSI TS 102 671 [23]), OTA mechanisms as specified in [7] and [8], and its extensions [9], [10] for 3GPP underlying networks or [11] and [12] for 3GPP2 underlying networks shall be used to securely administrate the sensitive data of the M2M Service Layer. UICC provides the highest protection level 3 against attacks according the Classification of Protection levels table 6.2.1-1 in clause 6.2.1. | Annex C.1 | The underlying network security is not in scope of M2M and the means to achieve security is not public. | No |
| TS-0003-101 | In case the secure environment is implemented as a Trusted Execution Environment (TEE) according to GlobalPlatform [22], remote administration shall be performed according to GlobalPlatform Remote Administration [21]. TEE provides the medium protection level 2 against attacks according the Classification of Protection levels table 6.2.1-1 in clause 6.2.1. | Annex C.3 | GlobalPlatform is an option and should be tested independently of M2M. | No |
| TS-0003-102 | The support of UICC provisioning of M2M service subscription information shall be indicated in the M2M Service Table for the corresponding M2M Service Subscription as specified in the present annex. | Annex D | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-103 | The support of key derivation using GBA that may be used for bootstrapping or security association shall always be indicated in the Service Table of the UICC application of the Access Network Operator supporting the GBA infrastructure. | Annex D | Preconfiguration information | Yes. Will form a precondition (a **with** statement in TPLan) |
| TS-0003-104 | A common scenario is where an M2M field node holds a UICC application protecting Access Network security credentials, and these credentials are used to derive M2M Service Layer security credentials used for M2M service bootstrapping or security association establishment in the service layer . As these scenarios require a trust agreement between the involved Access Network operator and M2M Service Provider, UICC support for M2M services in such situation shall be handled within the context of the associated Network Access application on the UICC. In particular, the UICC support for M2M credentials derivation using GBA shall be indicated within the UICC application of the Access Network operator. This is specified in clause D.1. | Annex D | Refers to D.1 | No |
| TS-0003-105 | The storage of M2M information elements in the UICC and the procedures used for communication between the hosting M2M field node and the UICC shall be as specified in the present annex. The present annex uses abbreviations and coding conventions defined in ETSI TS 102 221 [24]. | Annex D | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-106 | There may be several oneM2M service frameworks (DF1M2M) within the ADF of a single Access Network subscription, in case this Access Network subscription is used by several independent M2M Service subscriptions. The file IDs of the DF1M2M in any ADF shall be listed under the corresponding entry in EFDIR as specified in clause D.1.2. | Annex D.1.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-107 | The content of any DF1M2M in an Access Network application ADF shall be as specified in clause D.1.3. | Annex D.1.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-108 | When a UICC Network Access application supports one or more M2M Service subscription, with a DF1M2M, the EFDIR entry corresponding to this UICC Network Access Application shall contain the following M2M related Data Objects: | Annex D.1.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-109 | There shall be as many oneM2M Service Framework Data Objects as there are M2M Service Subscriptions provisioned in the ADF. | Annex D.1.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-110 | This EF indicates which optional oneM2M services are available for the corresponding subscription. If a service is not indicated as available in the oneM2M DF, the hosting M2M field node shall not select this service. The presence of this file is mandatory if optional services are provided by the subscription. | Annex D.1.3.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-111 | The EF shall contain at least one byte. Further bytes may be included, but if the EF includes an optional byte, then it is mandatory for the EF to also contain all bytes before that byte. Other services are possible in the future and will be coded on further bytes in the EF. Coding: | Annex D.1.3.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-112 | Service available means that the M2M Service Subscription provisioned in the current DF or ADF has the capability to support the service and that the service is available for the user of the M2M Service Subscription.Service not available means that the service shall not be used by the M2M Service Subscription user, even if the M2M Service Subscription has the capability to support the service. | Annex D.1.3.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-113 | This EF contains the oneM2M Subscription Identifier, M2M-Sub-ID. There shall be only one TLV object within this EF. | Annex D.1.3.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-114 | The M2M Subscription Identifier value field shall contain the M2M-Sub-ID encoded as specified in oneM2M TS‑0004 [4]. The tag value of the oneM2M Subscription Identifier TLV data object shall be '80'. | Annex D.1.3.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-115 | This EF contains the oneM2M Service Provider Identifier, M2M-SP-ID, of the M2M Service Provider related to the subscription in EF1M2MSID. There shall be only one TLV object within this EF. | Annex D.1.3.3 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-116 | The M2M-SP-ID Value field shall contain the M2M-SP-ID encoded as specified in TS-0004 [TS0004]. The tag value of the M2M-SP-ID TLV data object shall be '80'. | Annex D.1.3.3 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-117 | This EF contains the M2M-Node-ID supporting the local CSE. It may be used to logically bind a UICC to a specific M2M Node. If service n°6 is "available", this file shall be present. There shall be only one TLV object within this EF. | Annex D.1.3.4 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-118 | The M2M-Node-ID Value field shall contain the M2M-Node-ID encoded as specified in oneM2M TS-0004 [4]. | Annex D.1.3.4 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-119 | This EF contains the local CSE Identifier, CSE-ID, for the M2M field node associated to the subscription in EF1M2MSID. If present, this file is used by the M2M field node to pre-provision the CSE-ID. If service n°1 is "available", this file shall be present. There shall be only one TLV object within this EF. | Annex D.1.3.5 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-120 | The CSE-ID Value field shall contain the local CSE-ID formatted as a URI. | Annex D.1.3.5 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-121 | The URI shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [19]. The tag value of the URI TLV data object shall be '80'. | Annex D.1.3.5 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-122 | This EF contains the list of M2M Application Identifiers (AE-IDs) for the local M2M applications supported by the subscription in EF1M2MSID. If service n°4 is "available", this file shall be present. | Annex D.1.3.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-123 | The Value field shall contain the M2M AE-ID formatted as a URI. | Annex D.1.3.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-124 | The URI shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [19]. | Annex D.1.3.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-125 | This EF contains a list of pre-provisioned IN-CSE-ID used to determine the next point of contact after provisioning or M2M Service Bootstrapping. If service n°2 is "available", this file shall be present. | Annex D.1.3.7 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-126 | The Value field shall contain the IN-CSE-ID formatted as a URI. | Annex D.1.3.7 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-127 | The URI shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [19]. | Annex D.1.3.7 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-128 | This EF is used to pre-provision the FQDN of the MAF to be used for M2M Service Connection after M2M Service Bootstrapping. If service n°3 is "available", this file shall be present. There shall be only one TLV object within this EF. | Annex D.1.3.8 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-129 | The MAF-FQDN shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [19]. The tag value of the MAF FQDN TLV data object shall be '80'. | Annex D.1.3.8 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-130 | This EF contains one or more M2M Enrolment Function addresses. The first record in the EF shall be considered to be of the highest priority. The last record in the EF shall be considered to be the lowest priority. If service n°5 is "available", this file shall be present. | Annex D.1.3.9 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-131 | This field shall be set to the type of the MEF address according to the following:

|  |  |
| --- | --- |
| Value | Name |
| 0x00 | FQDN |
| 0x01 | IPv4 |
| 0x02 | IPv6 |
| All other values are reserved |  |

 | Annex D.1.3.9 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-132 | This field shall be set to the address of the M2M Enrolment Function. When the MEF type is set to 0x00, the corresponding MEF Address shall be encoded to an octet string according to UTF-8 encoding rules as specified in IETF RFC 3629 [19]. | Annex D.1.3.9 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-133 | Unused bytes shall be set to 'FF'. | Annex D.1.3.9 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-134 | Files at the UICC MF level are application independent as specified in ETSI TS 102 221 [24]. Only the EFDIR and EFICCID files are mandatory on UICC for the purpose of 1M2MSM applications. In any case all files shall be as specified in ETSI TS 102 221 [24]. | Annex D.2.1.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-135 | The EFs in the 1M2MSM ADF contain oneM2M subscription related information that is required for M2M field nodes operating in an oneM2M environment. This ADF shall be selected using its AID and information in EFDIR. The AID for 1M2MSM applications shall be constructed as specified in ETSI TS 101 220 [27]. | Annex D.2.1.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-136 | The DF1M2M substructure used to isolate the provisioning of network access dependent M2M service related information in a Network Access Application ADF is not needed for access network independent provisioning of an M2M service subscription in a 1M2MSM ADF. Therefore, all the EFs specified in clause D.1.3 shall be present at the 1M2MSM ADF level. The file structure of the ADF1M2MSM is illustrated in figure D.2. | Annex D.2.1.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-137 | This clause specifies the procedures that shall be executed by M2M field nodes to interact with a oneM2M Service Subscription on UICC. They are applicable independently of the file structure supporting the oneM2M Service Subscription (1M2MSM ADF or DF1M2M under a Network Access Application ADF), unless otherwise indicated. | Annex D.2.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-138 | If the M2M field node wants to engage in M2M operation, then after UICC activation (see ETSI TS 102 221 [24]), the M2M field node shall select a 1M2MSM application, if a 1M2MSM application is listed in the EFDIR file, using the SELECT by DF name as defined in ETSI TS 102 221 [24]. | Annex D.2.2.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-139 | After a successful oneM2M application selection, the selected oneM2M AID is stored on the UICC. This application is referred to as the last selected 1M2MSM application. The last selected 1M2MSM application shall be available on the UICC after a deactivation followed by an activation of the UICC. | Annex D.2.2.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-140 | If a oneM2M application is selected using partial DF name, the partial DF name supplied in the command shall uniquely identify a 1M2MSM application. Furthermore if a 1M2M application is selected using a partial DF name as specified in ETSI TS 102 221 [24] indicating in the SELECT command the last occurrence, the UICC shall select the oneM2M application stored as the last oneM2M application. If, in the SELECT command, the options first, next/previous are indicated, they have no meaning if an application has not been previously selected in the same session and shall return an appropriate error code. | Annex D.2.2.1 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-141 | The M2M field node shall indicate to the oneM2M UICC application that the termination procedure is starting, by sending a particular STATUS command. | Annex D.2.2.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-142 | To actually terminate the session, the M2M field node shall then use one of the mechanisms described in ETSI TS 102 221 [24]. | Annex D.2.2.2 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-143 | The M2M field node shall perform the reading procedure with EF1M2MST. If no oneM2M related service is indicated as available, the M2M field node shall assume that only the provisioning of mandatory parameters is available in this ADF. | Annex D.2.2.3 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-144 | The M2M field node shall perform the reading procedure with EF1M2MSID and EF1M2MSPID, and EFCSEID, EFM2MNID, EFINCSEID, EFMAFFQDN according to available services indicated in EF1M2MST. | Annex D.2.2.4 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-145 | Condition: Service number 4 shall be available in the oneM2M Service Table. | Annex D.2.2.5 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-146 | Under this condition, the M2M field node shall perform the reading procedure with EFM2MAEID. | Annex D.2.2.5 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-147 | Condition: Service number 5 shall be available in the oneM2M Service Table. | Annex D.2.2.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-148 | Under this condition, the M2M field node shall perform the reading procedure with EFMEFID, if the related service is available. | Annex D.2.2.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-149 | After identifying the supported authentication framework, the M2M field node shall check availability of Service number 7 in EF1M2MST: If the service is available, the D/G M2M Node shall perform GBA-related procedures with AUTHENTICATE - GBA security context (Bootstrapping Mode and Derivation Mode) with the parameters for GBA secure provisioning. | Annex D.2.2.6 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-150 | After identifying the supported authentication framework, the M2M field node shall check availability of Service number 12 in EF1M2MST: If the service is available, the M2M field node shall perform a GBA-related procedures with AUTHENTICATE - GBA security context (Bootstrapping Mode and Derivation Mode) with the parameters for GBA Security Association. | Annex D.2.2.7 | This refers to operation of the UICC. In testing if the IUT is equipped with an UICC the testing of the UICC is not part of M2M | No |
| TS-0003-151 | When a request (resource access) is evaluated by a Hosting CSE and an accessControlLocationRegions parameter is defined in the privileges attribute of the <accessControlPolicy> resources, the Hosting CSE checks whether the location of the Originator of a request is in the specific regions or not. Therefore, the Hosting CSE retains the location of the Originator otherwise the Hosting CSE shall acquire the location or deny the access. This annex describes how to describe the location regions and obtain the location of the Originator. | Annex F | This is an overview clause (note that as a hanging paragraph the reference is inexact) | No |
| TS-0003-152 | The practical way of describing the region or area is the circular presentation and generally the circle is characterized by the co-ordinates of a center point of the circle and a radius. Geographically, the center point and radius is described as longitude and latitude, and meter respectively. For this description, the accessControlLocationRegions parameter shall be represented as a circle. | Annex F.1.1 | This proposes a representation and interpretation of stored data.  | No |
| TS-0003-153 | As mentioned above, when accessControlLocationRegions parameter is defined, the Hosting CSE shall check the location of the Originator for access control. This clause describes how the Hosting CSE checks or obtains the location. The procedures shall be varies based on the region description, circle and country. | Annex F.2 | This forms part of the access control rules where a geo-rule is enforced (note that as a hanging paragraph the reference is inexact) | Yes.  |
| TS-0003-154 | If the circular description is used as the location context constraints, the Hosting CSE shall check whether it has the current location of Originator or not. If not, it shall obtain the location of Originator. TS-0001 [1] defines a resource type for acquisition of location of a Target Node, <locationPolicy>. In order to , therefore, obtain the location of Originator, the Hosting CSE shall create <locationPolicy> and set the relevant attributes as follows:* **locationSource:** Reliability of the location information is crucial so the location shall be obtained from trusted network. If the location is obtained by the other sources, the location information can be easily masqueraded. (i.e. GPS spoofing). Therefore, the locationSource attribute shall be set to ‘network-based'.
* **locationTargetID:** The Target Node shall be the Originator that needs to authorize the sent requests. The locationTargetID attribute shall be set to identifier of the Originator.
 | Annex F.2.1 | Test of creation of policy. To be tested by inspection of the PiP | Yes |
| TS-0003-155 | Note that the other attributes are determined by local policies of Hosting CSE as described in clause 9.6.9 of oneM2M TS-0001 [1] and in order to obtain the location from the network, the Hosting CSE shall transform the oneM2M specified location request into network specified request. | Annex F.2.1 | This is a note which should be informative but contains a requirement.  | No |
| TS-0003-156 | 2. The Hosing CSE shall evaluate the received request against the linked <accessControlPolicy> resource. If one of rule tuples that is about the request originator contains the accessControlLocationRegions parameter (circular description) and the Hosting CSE does not store the location of the Originator, the Hosting CSE shall do either continue the next step or deny the access.If the Hosting CSE has the location of the Originator, it is used for applying access control policy. | Annex F.2.1 | Embedded within overall structure as a demonstration. It is not clear if this is a mandatory sequence as it is introduced by the following text "**demonstrates** how to acquire the location of the Originator when the accessControlLocationRegions parameter is defined". | Not at this time |
| TS-0003-157 | NOTE 2: The Hosting CSE shall deny the access due to the fact that the Originator is not subscriber of the network or any other reasons (e.g. connection lost, server malfunction). | Annex F.2.1 | This is a note which should be informative but contains a requirement. Whilst testable this should be transformed from the note format and integrated into the primary text | Not at this time |
| TS-0003-158 | 4. The Hosting CSE subscribes to a new area location notification service toward Location Server in the Network. The area information shall be based on the area defined by the accessControlLocationRegions parameters. If the multiple regions are defined, the multiple subscriptions shall be set. | Annex F.2.1 | Embedded within overall structure as a demonstration. It is not clear if this is a mandatory sequence as it is introduced by the following text "**demonstrates** how to acquire the location of the Originator when the accessControlLocationRegions parameter is defined". | Not at this time |
| TS-0003-159 | 8. When the Originator crossed in(enter) or out(leave) the area, the Location Server shall notify of the Hosting CSE the location change. Thus, the Hosting CSE can keep track of the location's Originator and easily evaluate the access against location context constraint. | Annex F.2.1 | Embedded within overall structure as a demonstration. It is not clear if this is a mandatory sequence as it is introduced by the following text "**demonstrates** how to acquire the location of the Originator when the accessControlLocationRegions parameter is defined". | Not at this time |
| TS-0003-160 | Generally, the Originator's country-scale location can be determined by the Originator's IP address. If the Hosting CSE can distinguish the country using the Originator's IP address and it is also matched with the defined acccessControlLocationRegions parameter, the Hosting CSE shall grant the request subject to the acceptance of the other access control policies. Note that how to transform the IP address into country is out of scope. | Annex F.2.2 | Part of the access control policy.  | Yes |
| TS-0003-161 | However, if Hosting CSE cannot distinguish the country using the Originator's IP address, The Hosting CSE shall obtain the location coordinate (i.e., longitude and latitude) of the Originator from network and the Hosting CSE can distinguish the country using the location if available. The way of obtaining the location coordinate is defined in annex F of oneM2M TS-0004 [4]. Note that how to transform the location into country is out of scope. | Annex F.2.2 | Part of the access control policy | Yes |
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